

# Updates from ICANN54, Dublin



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Head of Outreach and Public Responsibility (APAC)  
Kr IGF | 30 Oct



# **Civil Society Engagement Plan in FY16**



# Objectives

- 1. Tailored content and communications:** To assess existing and if necessary develop tailored content for use with civil society audiences, online and face to face
- 2. Engagement activities:** Both at global and regional levels, organise own events + engagement in Civil Society (CS) event in each region, and at ICANN meetings
- 3. Capacity building:** Assessment of existing capacity building, learning and related programmes, and as necessary, help to either enhance or put in place such programmes

## Scope of this plan:

- At Large Community, Non Commercial Stakeholder Group (NCSG): Non Commercial User Constituency (NCUC ) and Not-For-Profit Operational Concerns Constituency (NPOC)
- Non-Governmental Organisations (NGOs), individual Internet end-users & academia

# Draft Civil Society Engagement Strategy

## Content initiatives (draft)

### Publications

- **Civil society publications (brochure, handbook, guides)** highlighting work of ICANN non-commercial groups and relevant At-Large Structures
- **Journal:** Call for papers (tentative) "civil society and academia in ICANN and Internet governance"
- **Regular updates** on ICANN policy processes, plain English

### Digital media

- **eBook**, Civil society in ICANN (NCUC in development)
- **Newsletters**; Civil society section in GSE regional newsletters
- **Social media**: Twitter, dedicated email list, Facebook
- **Webinars** etc (Before ICANN meeting or Issue specific)

Look out for more information from the ICANN Civil Society Engagement Team.



Jean-Jacques Sahel  
(Global Lead)



Adam Peake  
(Global Coordinator)



Kelvin Wong  
(Asia Pacific)

And other regional  
managers....



# **New gTLD Reviews and Related Updates**

# New gTLD reviews presented at ICANN 54

1

## GNSO Policy Development related to new gTLDs

- New gTLD Subsequent Rounds: Public Comment open until **30 Oct**
- Rights Protection Mechanisms (RPMs) for all gTLDs: Public Comment open until **30 Nov**

2

## Assessment of the Competitive Effects Associated with ICANN's New gTLD Program - by Analysis Group for ICANN

(<https://www.icann.org/news/announcement-2-2015-09-28-en>)

- Understand competitive effects of ICANN's New gTLD Program on the marketplace.
- Analyze competition in the past, present, & future.

3

## Competition, Consumer Trust and Consumer Choice Review – by ICANN staff

Review examines the extent to which new gTLDs have promoted competition, consumer trust and consumer choice, as well as effectiveness of the processes and safeguards in place

**Call for Volunteers:** To serve on the Review Team (CCT-RT)

<https://www.icann.org/resources/pages/call-volunteers-cct-rt-2015-10-01-en>

(Submit your applications to [reviews@icann.org](mailto:reviews@icann.org) by 30 October 2015, 23:59 UTC.)

# New gTLD reviews presented at ICANN 54

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## Global Registrant Survey – by Nielsen for ICANN

(<https://www.icann.org/news/announcement-2015-09-25-en>)

- Accompanies the previously released Consumer survey.
- Examine attitudes & perceptions around existing/new gTLDs as well as registration processes.

5

## Root Stability Study Workshop

- Define relevant security and stability parameters
- Study future scenarios with a simulation of the root system
- Next step draft report out by May 2016

6

## Rights Protection Mechanism (RPMs) Review

(<https://www.icann.org/news/announcement-2015-09-11-en>)

- 11 September 2015 *Revised RPM Report* published
- Review: Trademark Clearinghouse, Uniform Rapid Suspension, Post-delegation Dispute Resolution Procedure

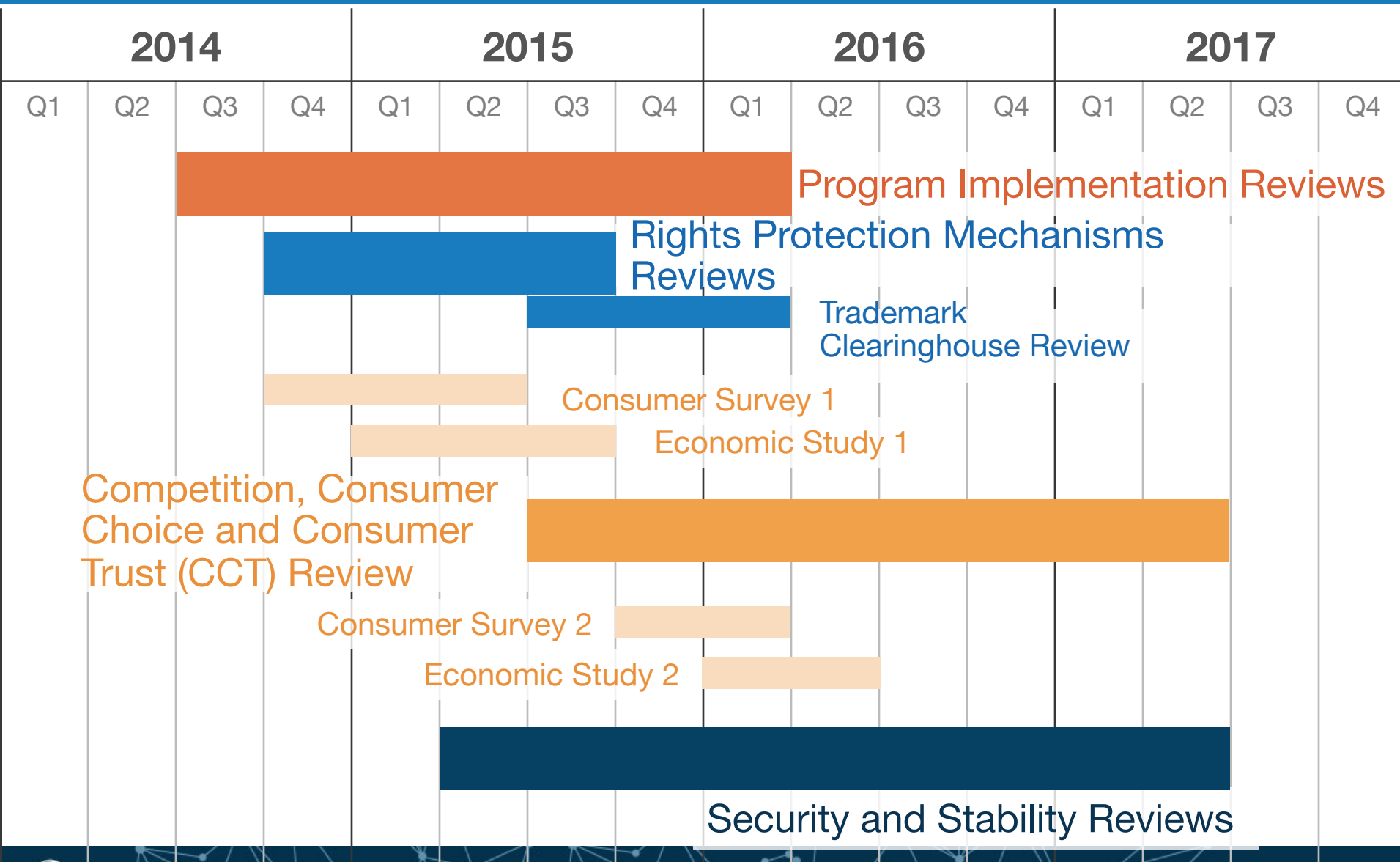
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## Other reviews and working group

- GAC Underserved Regions Working Group
- CWG on Use of Country and Territory Names



# Estimated Timeline





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# **IANA Stewardship Transition & Enhancing ICANN Accountability**



# **Updates from Dublin on IANA Stewardship Transition**



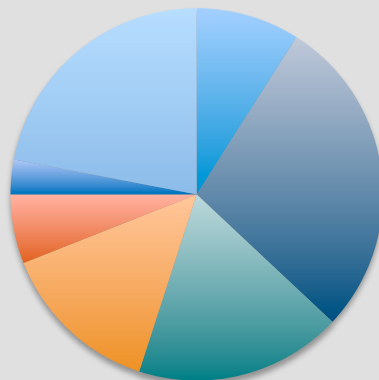
# Public Comment Period

## July 31 -September 8

In September 2015, the ICG met in Los Angeles to review and discuss **159 comments** received:

- ⦿ Majority of comments expressed support for proposal
- ⦿ Clarifications will be requested of each operational community on:
  - Cooperation in case of operator change (all)
  - Intention to participate in Names-proposed mechanisms (CRISP Team)
  - Remit, composition, and other details about proposed mechanisms (CWG-Stewardship)

### Submission Breakdown by region




Global	(9%)
North America	(28%)
Asia-Pacific	(18%)
Europe	(14%)
Africa	(6%)
LAC	(3%)
Unspecified	(22%)

# Next Steps and Timeline

- ⦿ **The ICG finalized its work at ICANN54 in Dublin**
- ⦿ A status update and current proposal document will be provided shortly after ICANN54
- ⦿ The group awaits confirmation from CWG-Stewardship that its requirements have been met by the CCWG-Accountability
- ⦿ Keep ICG constituted as a body until 30 September 2016
  - Maintain ICG mailing list
  - Ensure communities know how to get in touch with us
  - Schedule calls/meetings only as needed
- ⦿ Currently discussing need for implementation related work, if any

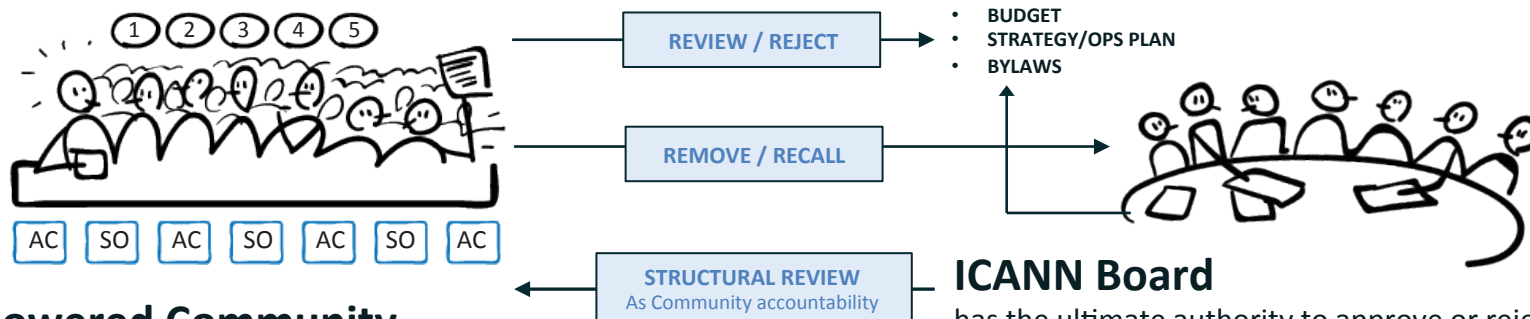




# Updates from Dublin on Enhancing ICANN Accountability

# Proposed Enhanced Accountability Mechanisms

The CCWG-Accountability has identified enhancements required to **building blocks that would form the accountability mechanisms** required to improve ICANN's accountability.

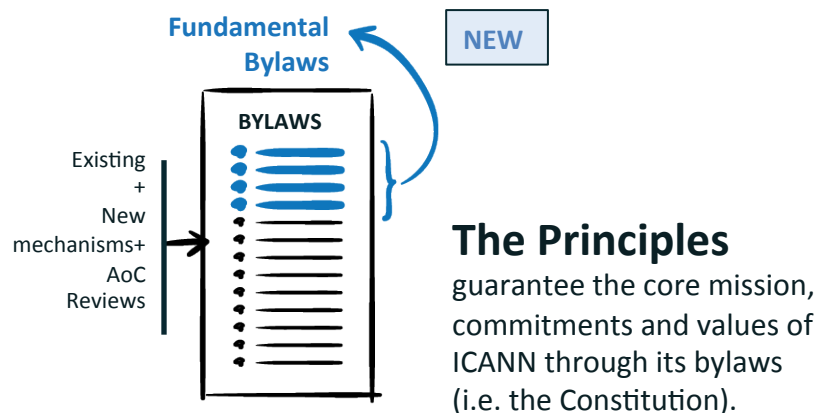


## The Empowered Community

refers to the powers that allow the community SOs & ACs to take action should ICANN breach the principles (i.e. the People).

## ICANN Board

has the ultimate authority to approve or reject policy recommendations, developed by the SOs. ACs formally advise the ICANN Board on particular issues or policy areas (i.e. the Executive).



## The Principles

guarantee the core mission, commitments and values of ICANN through its bylaws (i.e. the Constitution).



## Independent Appeals Mechanisms

confers the power to review and provide redress, as needed (i.e. the Judiciary).

# The Empowered Community's Powers

The CCWG-Accountability **recommends the ICANN community be empowered with five distinct powers.**



## **1. Reconsider/reject Budget or Strategy/Operating Plan**

This power would give the community the ability to consider strategic/operating plans and budgets after they are approved by the Board (but before they come into effect) and reject them.



## **2. Reconsider/reject changes to ICANN “Standard” Bylaws**

This power would give the community the ability to reject proposed Bylaws changes after they are approved by the Board but before they come into effect.



## **3. Approve changes to “Fundamental” Bylaws**

This power would form part of the process set out for agreeing any changes of the “fundamental” bylaws. It requires that the community would have to give positive assent to any change, a co-decision process between the Board and the community and that such changes would require a higher vote.



## **Remove individual ICANN Board Directors**

The community organization that appointed a given director could end their term and trigger a replacement process. The general approach, consistent with the law, is that the appointing body is the removing body.



## **5. Recall entire ICANN Board**

This power would allow the community to cause the removal of the entire ICANN Board. (expected to be used only in exceptional circumstances).

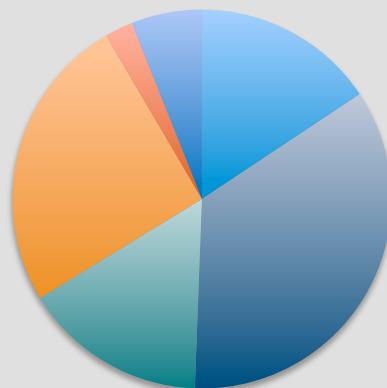
# Public Comment Period

## August 3 -September 12

In September 2015, the CCWG-Accountability met in Los Angeles to review and discuss public comments received:

- ⦿ Received **92 comments**
- ⦿ Support for the work and goals of CCWG
- ⦿ Concern from community leaders (including the ICANN Board) about complexity of the proposal and the effect on timeline

### Submission Breakdown by region



- Global (16%)
- North America (35%)
- Asia-Pacific (16%)
- Europe (25%)
- Africa (2%)
- LAC (6%)



# Progress at ICANN54 in Dublin

At ICANN54 in Dublin, the CCWG-Accountability held more than 25 hours of formal meetings.

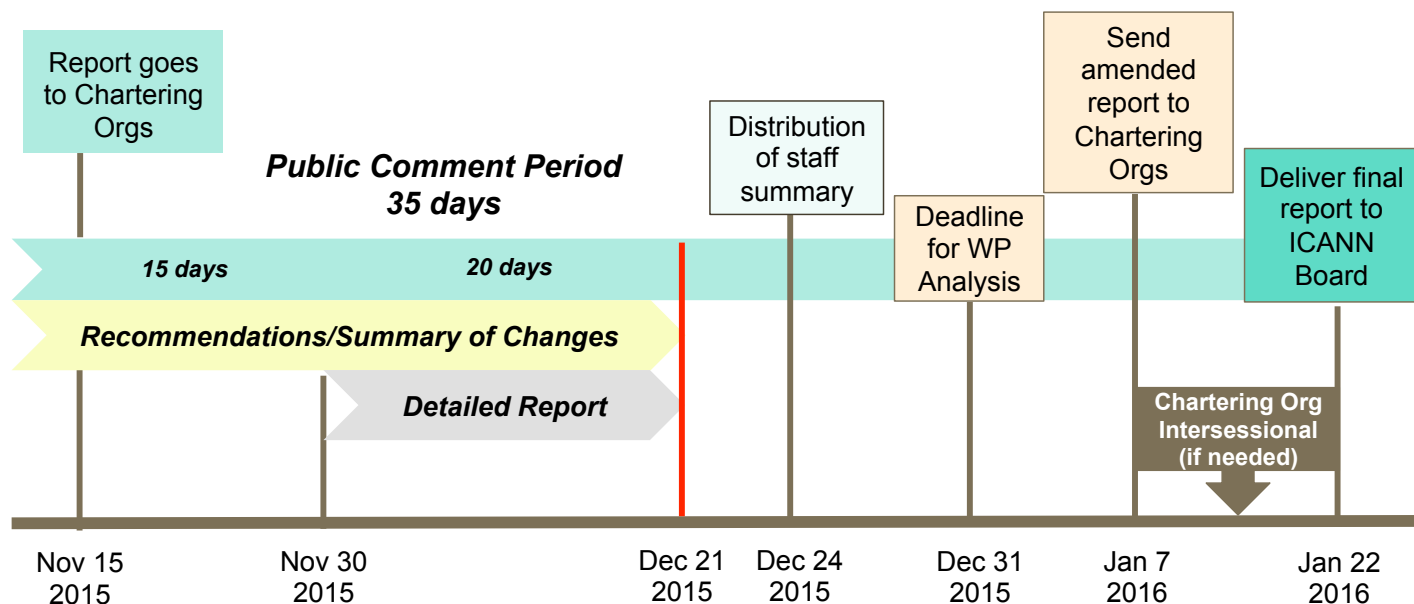
## **Summary of key decisions and agreed-upon next steps:**

- ⦿ Sole Designator as Reference for Enforcement
- ⦿ Decision-Making Model
- ⦿ Independent Review Process
- ⦿ Community Power: Review/Reject Budget and Operating Plan
- ⦿ Community Power: Recall Individual Board Directors
- ⦿ Mission and Core Values
- ⦿ Human Rights
- ⦿ Incorporation of the Affirmation of Commitments into the Bylaws
- ⦿ Work Stream 2

# Next Steps and Timeline

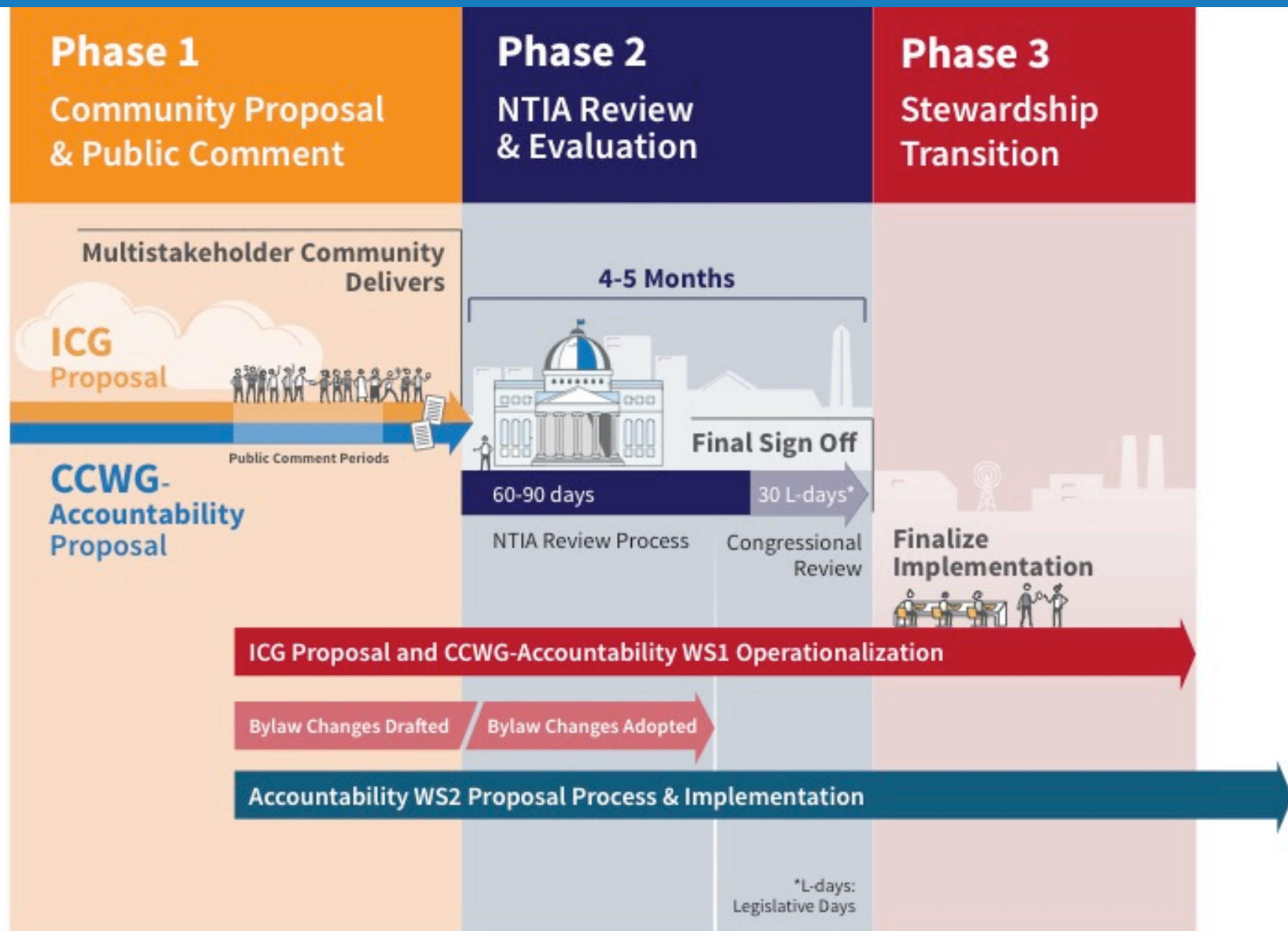
The CCWG-Accountability aims to have a **35-day public comment from 15 November-21 December 2015**. The PC will be issued in two parts:

- ⦿ **15 Nov:** 20-page Overview of Recommendations + Summary of changes from 2<sup>nd</sup> Draft Proposal
- ⦿ **30 Nov:** Entire Proposal + Annexes + In-depth Documentation



*All dates are tentative\**

# Where are we now?



# What Can I Do Now To Get Involved?



## Stay up to date on recent developments

- ⦿ Visit: <https://www.icann.org/stewardship-accountability>
- ⦿ Follow [@ICANN](#) on Twitter or like [ICANN](#) on Facebook
- ⦿ [Subscribe](#) to ICANN news alerts

## IANA Stewardship Transition

<https://www.icann.org/stewardship>

- ⦿ Latest news and information on the IANA Stewardship Transition and ICG
- ⦿ Community participation information
- ⦿ Resources and archives from ICG meetings

## Enhancing ICANN Accountability

<https://community.icann.org/category/accountability>

- ⦿ Latest news and information on the Enhancing ICANN Accountability process and CCWG
- ⦿ Announcements and upcoming events



# Engage with ICANN



## Thank You and Questions

Reach us at -

Email: [kelvin.wong@icann.org](mailto:kelvin.wong@icann.org)

[apachub@icann.org](mailto:apachub@icann.org)

Website: [icann.org](http://icann.org)



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[youtube.com/user/icannnews](https://youtube.com/user/icannnews)



[slideshare.net/icannpresentations](https://slideshare.net/icannpresentations)

The background of the slide is a solid teal color. Overlaid on this is a stylized world map. The map is created using a network of white dots of varying sizes, which are interconnected by thin white lines. These lines and dots form the outlines of the continents, giving the map a digital, interconnected appearance. The text "Backup Slides" is written in a white, sans-serif font and is positioned on the left side of the slide, partially overlapping the North American continent.

Backup Slides

# CCWG-Accountability Goals and Requirements

The Cross Community Working Group on Enhancing ICANN Accountability (**CCWG-Accountability**) was formed to Deliver proposals that would enhance ICANN's accountability towards all stakeholders

- ⦿ The CCWG-Accountability is made up of **28 members** representing the 6 organizations who chartered the group, and **151 participants** who engage in day-to-day discussions and proposal development
- ⦿ The CCWG has split its recommendations into two Work Streams:



## **Work Stream 1**

Focused on mechanisms enhancing ICANN accountability that must be in place or committed to within the time frame of the IANA Stewardship Transition



## **Work Stream 2**

Focused on addressing accountability topics for which a timeline for developing solutions and full implementation may extend beyond the IANA Stewardship Transition

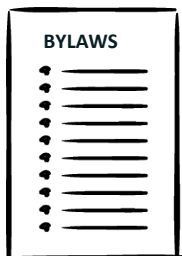
# Current Accountability Framework

The CCWG-Accountability identified **four building blocks** that would form the mechanisms required to improve ICANN's accountability.



## The ICANN Community

is organized in three Supporting Organizations (SOs) and four Advisory Committees (ACs).



## The Principles

guarantee the mission, commitments and core values of ICANN through its bylaws.



## ICANN Board

has the ultimate authority to approve or reject policy recommendations, developed by the SOs. ACs formally advise the ICANN Board on particular issues or policy areas.



## Independent Appeals Mechanisms

confers the power to review and provide redress, as needed.



# Linkage with the CWG-Stewardship

The CCWG-Accountability recognizes that continued and close engagement with the CWG-Stewardship is essential. **Key aspects of the CWG-Stewardship proposal are considered to be conditional on the output of the CCWG-Accountability.**

CWG-Stewardship Requirements	CCWG-Accountability Proposal	Requirement met?
<b>ICANN Budget</b> Community rights regarding the development and consideration.	<b>Recommended community power:</b> <i>Reconsider/reject budget or strategy/operating plan</i>	✓
<b>ICANN Board</b> Community rights regarding the ability to appoint / remove members, and to recall the entire Board.	<b>Recommended community powers:</b> <i>Appoint &amp; remove individual ICANN directors, Recall entire ICANN board</i>	✓
<b>ICANN Bylaws</b> Incorporation of the following into ICANN's Bylaws: IANA Function Review, Customer Standing Committee, and the Separation Process.	<b>Recommended to be included as ICANN Bylaws.</b>	✓
<b>Fundamental Bylaws</b> All of the foregoing mechanisms are to be provided for in the ICANN bylaws as Fundamental Bylaws.	<b>Recommended to be included as ICANN Bylaws.</b>	✓
<b>Independent Review Panel</b> Should be made applicable to IANA Functions and accessible by TLD managers.	<b>Will be applicable, except for ccTLD delegations / revocations and numbering decisions.</b>	✓

# Work Streams & Implementation

## Possible tracks for implementation of Work Stream 1:

- Revising Mission, Commitments and Core Values
- Establishing Fundamental Bylaws
- Completing the IRP enhancements
- Establishing Community empowerment mechanism and incorporation of the community Powers into the Bylaws
- Implementing the AoC reviews into the Bylaws
- Completing the Reconsideration process enhancements

## Elements considered for Work Stream 2:

- Refining the **operational details** of WS1 proposals
- Further assessing enhancements to **government participation** in ICANN
- Considering the issue of **jurisdiction**
- Enhancing **SO/AC accountability**
- Instituting a **culture of transparency** within the ICANN organization
- Considering improvements to **diversity** in all its aspects at all levels of the organization
- Defining the modalities of how ICANN integrates **human rights** impact analyses, within its mission

2015

2016

